

#3

FORMALITIES LETTER



OC000000005115284

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/504,239	02/15/2000	Bruce L. Davis	60114

William Y Conwell
Digimarc Corporation
19801 SW 72nd Avenue
Suite 250
Tualatin, OR 97062

Date Mailed: 05/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 820.**

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/24/2000 VYANG1 00000007 501071 09504239

01 FC:101	690.00 CH
02 FC:105	130.00 CH
03 FC:103	36.00 CH
04 FC:115	110.00 CH



WYC:dks 8/15/00

101
105
103X2
115

Section 1/2

PATENT
Attorney's Matter No. 60114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit: 2721

Davis et al.

Application No.: 09/504,239

Filed: February 15, 2000

For: DATA TRANSMISSION BY WATERMARK
PROXY

Examiner:

Date: August 15, 2000

CERTIFICATE OF MAILING

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 15, 2000, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231.

William Y. Conwell
Attorney for Applicant

TRANSMITTAL LETTER

ASSISTANT COMMISSIONER FOR PATENTS
Washington, DC 20231

Enclosed for filing in the application referenced above are the following:

- ☒ Copy of Notice to File Missing Parts of Application--Filing Date Granted dated May 15, 2000.
- ☒ Combined Declaration and Power of Attorney
- ☒ Filing Fee of **\$726.00**.
- ☒ Surcharge for Late Filing of Fee or Oath or Declaration: **\$130.00**
- ☒ Applicant petitions for an extension of time from July 15, 2000, to August 15, 2000, (fee of **\$110.00**).
- ☒ **Request for Corrected Filing Receipt**
- ☒ Please charge **\$966.00** and any additional fees which may be required in connection with filing these Missing Parts and any further extension of time fee, or credit any overpayment, to Deposit Account No. 50-1071. A copy of this sheet is enclosed.

Respectfully submitted,

DIGIMARC CORPORATION

Date: August 15, 2000

Digimarc Corporation
19801 SW 72nd Avenue, Suite 250
Tualatin, OR 97062
Telephone: 503-885-9699

By

William Y. Conwell
Registration No. 31,943



PATENT
Attorney's Matter No. 60114

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Art Unit: 2721

Davis et al.

CERTIFICATE OF MAILING

Application No.: 09/504,239

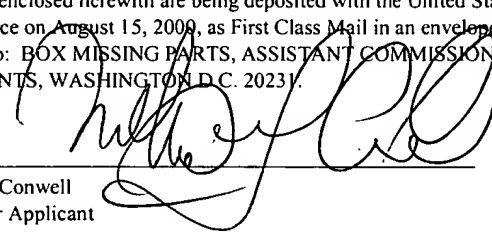
Filed: February 15, 2000

For: DATA TRANSMISSION BY WATERMARK
PROXY

Examiner:

Date: August 15, 2000

I hereby certify that this paper and the documents referred to as being attached or enclosed herewith are being deposited with the United States Postal Service on August 15, 2000, as First Class Mail in an envelope addressed to: BOX MISSING PARTS, ASSISTANT COMMISSIONER FOR PATENTS, WASHINGTON D.C. 20231.


William Y. Conwell
Attorney for Applicant

REQUEST FOR CORRECTED FILING RECEIPT

BOX MISSING PARTS
ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, DC 20231

Please provide a corrected filing receipt in the following respects:

Under Drawings change "9" to --2--.

Under Total Claims change "20" to --23--.

Under Ind Claims change "2" to --3--.

For applicant Bruce L. Davis, change "lake Oswego" to --Lake Oswego--.

Under "Continuing Data..." add the following:

"This appln is a CIP of 09/491,534 1/26/00

which is a CIP of 09/473,396 12/28/99

And a CIP of 09/476,686 12/30/99."

Change the title to "Data Transmission by Watermark Proxy."

Enclosed are the following: copies of the incorrect filing receipt with the changes noted thereon, Combined Declaration and Power of Attorney, first page, drawings and the claims of the patent application, transmittal letter, all supporting the requested changes.

Respectfully submitted,

DIGIMARC CORPORATION

Date: August 15, 2000

19801 SW 72nd Avenue, Suite 250
Tualatin, OR 97062
Telephone: (503) 885-9699

By 

William Y. Conwell
Registration No. 31,943

FILING RECEIPT



OC000000005115283

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: ASSISTANT SECRETARY AND
COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/504,239

02/15/2000

2721

0

60114

1/1

20

1/1

William Y Conwell
Digimarc Corporation
19801 SW 72nd Avenue
Suite 250
Tualatin, OR 97062

Date Mailed: 05/15/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

L O
Bruce L. Davis, Lake Oswego, OR ;
William Y. Conwell, Portland, OR ;

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/134,782 05/19/1999

Foreign Applications

This APPLN is a CIP of 09/491,534 1/26/00
which is a CIP of 09/473,376 12/28/99
And a CIP of 09/476,686 12/30/99

If Required, Foreign Filing License Granted 05/12/2000

Title

Data Transmission By Watermark Proxy
~~Watermark based object linking and embedding~~

Preliminary Class

382

Data entry by : HARDY, EUGENIA

Team : OIPE

Date: 05/15/2000





**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231